Regional Seminar on Banking Supervision: Core Supervisory Issues

Organised by the Financial Stability Institute (FSI) in cooperation with the Joint Vienna Institute (JVI)

Vienna, Austria, 23–27 June 2014

Agenda

Monday 23 June

08:30 Welcoming remarks and introduction to the seminar
   Mr Norbert Funke, Director, JVI
   Mr Amarendra Mohan, Senior Financial Sector Specialist, FSI, BIS

08:45 Logistical information, administrative overview/announcements

09:00 Introduction of participants

09:15 The Core Principles for Effective Banking Supervision: the 2012 revision
   Ms Elizabeth Roberts, former Director, FSI, BIS

10:30 Coffee break

11:00 An overview of the Basel III framework
   Mr Amarendra Mohan

12:30 Lunch break

14:00 Supervisory intensity and effectiveness for banks
   Mr Raihan Zamil, Senior Financial Sector Specialist, FSI, BIS

15:30 Coffee break and payment of per diem to participants

16:00 Implementing Pillar 2 in the Basel III world
   Mr Andreas Wolf, Senior Examiner, On-Site Banking Inspections Division - Large Banks, Central Bank of the Republic of Austria

17:30 Welcome reception (coffee break area)

Tuesday 24 June

09:00 Corporate governance
   Ms Elizabeth Roberts

10:15 Group photo and coffee break

10:45 Analysis of bank earnings and profitability
   Mr Raihan Zamil
12:15 Lunch break
13:30 Analysis of bank earnings and profitability (cont)
   Mr Raihan Zamil
15:30 Coffee break
16:00 Basel Committee policy work for global systemically important banks (G-SIBs)
   Mr Amarendra Mohan
17:30 End of session

Wednesday 25 June

09:00 Risk-based supervision in Austria
   Mr Paul Wessely, Off-Site Analyst, Off-Site Banking Analysis and Strategy Division,
   Central Bank of the Republic of Austria
10:30 Coffee break
11:00 Policy frameworks for domestic systemically important banks (D-SIBs)
   Mr Amarendra Mohan
12:30 Lunch break
14:00 Excursion
   (Meeting point: Mariahilferstrasse 97, by the Thalia bookshop, at 13:45)

Thursday 26 June

09:00 Recovery and resolution of systemically important banks: an EU perspective
   Mr Markus Schwaiger, Associate Professor and Head, Supervisory Policy, Regulation
   and Strategy Division, Central Bank of the Republic of Austria
10:30 Coffee break
11:00 Policy for D-SIBs and its implementation: analysis, evaluation and discussion
   (The participants will analyse a consultative document on the D-SIB framework in a
   hypothetical country, identify key supervisory issues, evaluate various policy options
   and recommend policy measures and supervisory actions.)
   Mr Amarendra Mohan
12:30 Lunch break
14:00 Policy for D-SIBs and its implementation: analysis, evaluation and discussion
   (continued)
   Mr Amarendra Mohan
15:30 Coffee break
16:00 Presentation by participants – policy for D-SIBs and its implementation:
   analysis, evaluation and discussion
   Mr Amarendra Mohan
17:30  End of session
19:00  Farewell dinner
       (Meeting point: Mariahilferstrasse 97, by the Thalia bookshop, at 18:30)

**Friday 27 June**

09:00  Recent developments in the supervision of operational risk
       Mr Mitsutoshi Adachi, Director, Financial Systems and Bank Examination
       Department, Bank of Japan
10:15  Coffee break
10:45  Supervisory issues roundtable discussion
       (Participants should be prepared to raise current issues of supervisory concern in their
       own countries.)
12:15  Concluding remarks
12:30  End of seminar