

PROGRAM

Workshop on Anti-Money Laundering and Combating the Financing of Terrorism: The FATF Standard and Its Assessment Methodology

Joint Vienna Institute

July 18–22, 2016

Speakers:

Nadine Schwarz: Senior Counsel, Financial Integrity Group, Legal Department, International Monetary Fund (IMF)

Arz El Murr: Financial Sector Expert, Financial Integrity Group, Legal Department, IMF

Santiago Alvarez: Law Enforcement Expert, National Police, Spain

Monday, July 18		
8:30–9:00	Intro	Administrative Briefing <i>Ms. Tamara Tsikhistavi, Program Officer, JVI</i>
9:00–9:45	L1	Seminar Objectives, Overview, and Tour-de-Table <i>Mr. Martin Schindler, Deputy Director, Joint Vienna Institute, and Nadine Schwarz</i>
9:45–10:30	L2	Overview of the FATF, the AML/CFT Standard, and the Network of AML/CFT Assessor Bodies <i>Nadine Schwarz</i>
10:30–10:50		*** Coffee Break ***
A. Overview of the FATF 40 Recommendations (2012)		
10:50–11:30	L3	The Risk-Based Approach to the FATF Recommendations <i>Arz El Murr</i>
11:30–12:00	L4	Money Laundering and Asset Recovery <ul style="list-style-type: none"> • Money laundering offense (R. 3) • Confiscation and provisional measures (R. 4) <i>Nadine Schwarz</i>

12:00–12:30	L5	Terrorist Financing <ul style="list-style-type: none"> • Terrorist financing offense (R. 5) • Targeted financial sanctions related to terrorism and terrorist financing (R. 6) <i>Santiago Alvarez</i>
12:30–12:45		Group photo At the JVI garden
12:45–13:45		*** Lunch ***
13:45–14:15	L6	Proliferation Financing <ul style="list-style-type: none"> • Overview • Targeted Financial Sanctions related to proliferation financing <i>Nadine Schwarz</i>
14:15–14:45	L7	Operational issues: <ul style="list-style-type: none"> • Financial Intelligence Unit (R. 29) • Law Enforcement Agencies (R. 30 and 31) <i>Santiago Alvarez</i>
14:45–15:10		*** Coffee Break ***
15:10–16:30		Exercise
18:00		Welcome Reception Lobby of the JVI Residence
Tuesday, July 19		
9:00–10:30	L8	AML/CFT Preventive Measures <ul style="list-style-type: none"> • Customer due diligence (R.10) • Record-keeping (R.11) • Reporting of suspicious transactions (R.20) • Tipping-off and confidentiality (R.21) • Financial secrecy laws (R.9) • DNFBPs: Customer due diligence (R.22) • DNFBPs: Other measures (R.23)

		<i>Arz El Murr</i>
10:30–10:50		*** Coffee Break ***
10:50–11:30	L9	<p>AML/CFT Preventive Measures—Specific Customers and Activities</p> <ul style="list-style-type: none"> • Politically Exposed Persons (R.12) • Correspondent banking (R.13) • Money and Value Transfer Services (R.14) • New technologies (R.15) • Wire transfers (R.16) <p><i>Arz El Murr</i></p>
11:30–12:30		Practical Exercise
12:30–13:30		*** Lunch ***
13:30–14:45	L10	<p>Beneficial Ownership—Transparency of Legal Persons and Arrangements</p> <ul style="list-style-type: none"> • Legal persons (R. 24) • Legal arrangements (R. 25) <p><i>Nadine Schwarz</i></p>
14:45–15:05		*** Coffee Break ***
15:05–16:30		Exercise
Wednesday, July 20		
9:00–10:30	L11	<p>AML/CFT Supervision</p> <ul style="list-style-type: none"> • Financial sector (R. 26, 27) • Designated Nonfinancial Businesses and Professions (R. 28) <p><i>Arz El Murr</i></p>
10:30–10:50		*** Coffee Break ***
10:50–12:30	L12	<p>International Cooperation</p> <ul style="list-style-type: none"> • R. 36–40 <p><i>Santiago Alvares</i></p>
12:30–13:30		*** Lunch ***
B. Methodology for Assessing Compliance with the FATF Recommendations and the		

Effectiveness of AML/CFT Systems (2013)		
13:30–14:00	L13	Overview of the Assessment/Evaluation Process <i>Nadine Schwarz</i>
14:00–14:45	L14	Risk and Context <i>Arz El Murr</i>
14:45–15:10		*** Coffee Break ***
15:10–15:40	L15	Assessment of Technical Compliance <i>Santiago Alvarez</i>
15:40–16:30	L16	Assessment of Effectiveness—Principles and Process <i>Santiago Alvarez</i>
Thursday, July 21		
9:00–10:00	L17	Assessment of Effectiveness—The Immediate Outcomes <i>Nadine Schwarz</i>
10:00–10:30	L18	Information on Effectiveness <i>Arz El Murr</i>
10:30–10:50		*** Coffee Break ***
10:50–11:20	L19	Key Issues for Countries Undergoing an Assessment—How to Prepare for the Assessment <i>Arz El Murr</i>
11:20–12:30	L20	Before the On-Site Visit <i>Nadine Schwarz</i>
12:30–13:30		*** Lunch ***
13:30–14:30	L21	The On-Site Visit <i>Arz El Murr</i>
14:30–14:50		*** Coffee Break ***
14:50–16:30	L22	Role of the Lead Agency <i>Santiago Alvarez</i>
18:00		Departure for Farewell Dinner
Friday, July 22		
9:00–9:30	L23	Finalizing the Evaluation <i>Nadine Schwarz</i>
9:30–10:00	L24	Exercise

10:00–10:20	*** Coffee Break ***
C. Mutual Evaluation Procedures	
10:20–11:00	Procedures, Including Follow-Up to Mutual Evaluations <i>Nadine Schwarz</i>
11:00–12:30	Q&A (or practical exercise)
12:30–13:45	Evaluation and Close